

**Definition 0.0.1**

A set  $U \subset (X, d)$  is **nowhere dense** if  $\overline{U}$  does not contain an open ball. That is,  $\overline{U}$  has empty interior.

**Definition 0.0.2**

A topological space  $X$  is **meager** if it is a countable union of nowhere dense sets.

**Remark.** Any closed sets in  $X$  with empty interior is nowhere dense.

**Example 0.0.3.**

- $C \subset \mathbb{R}$  the middle-third Cantor set is meager; in particular, it is a closed set with empty interior and is therefore nowhere dense. However,  $C \subset C$  (as a subset of itself) is *not* meager.
- $(\mathbb{Q} \times \mathbb{Q}) \cup (\mathbb{R} \times \{0\}) \subset \mathbb{R}^2$  is meager. The first set is a countable union of singletons and the second is closed with empty interior.

**Theorem 0.0.4: Baire Category Theorem**

Let  $X$  be a complete metric space. Then:

- (1)  $\{U_i\}$ , a collection of open and dense sets, then  $\bigcap U_i$  is also open and dense.
- (2)  $X$  is not meager.

*Proof.* (1) Pick  $V \neq \emptyset$  open, and we need to show that  $V \cap (\bigcap U_i) \neq \emptyset$ .

Since  $U_i$  are open and dense, for all  $i$ ,  $U_i \cap V \neq \emptyset$  and is open. Hence there exists  $B(x_1, r_1) \subset U_1 \cap V$  where  $0 < r_0 < 1$ . Assume by induction that we have  $(x_i, r_i)$  with  $B(x_{n-1}, r_{n-1}) \subset U_{n-1} \cap V$ . We pick the next one so that

$$\overline{B(x_n, r_n)} \subset U_n \cap B(x_n, r_n) \quad \text{and } 0 < r_n < 2^{-n}.$$

The second condition would imply that  $\overline{B(x_n, r_n)} \subset B(x_{n-1}, r_{n-1})$ .

Thus, for  $m, n \geq N$ ,  $x_n, x_m \in B(x_N, r_N)$ , which implies that  $\{x_n\}$  forms a Cauchy sequence since  $r_n \rightarrow 0$ . By completeness, there exists a limit point  $x_n \rightarrow x$ . Moreover,  $x \in \overline{B(x_N, r_N)} \subset U_N \cap B(x_N, r_N) \subset U_N \cap B(x_1, r_1)$ . Since  $B(x_1, r_1) \subset V$  and  $N$  is arbitrary,  $x \in U_N \cap V$  for all  $N$ , i.e.,  $x \in V \cap (\bigcap U_i)$ , as claimed.

(2) If  $X$  is meager then  $X = \bigcup E_i$  where  $\{E_i\}$  is a countable collection of nowhere dense sets. Hence  $\{\overline{E_i}^c\}$  is a collection of open dense sets. The first part implies

$$A := \bigcup_{i=1}^{\infty} \overline{E_i}^c \neq \emptyset,$$

and by De Morgan's law,

$$A^c = \bigcap_{i=1}^{\infty} \overline{E_i} \supset \bigcup_{i=1}^{\infty} E_i = X,$$

contradiction. □

**Remark.** This theorem works for any  $X$  homeomorphic to a complete metric space or with a compatible topology.

In some sense, this is used to measure a “sort” of topological size of spaces. According to BCT, a set  $E \subset X$  is of the **first category** if it  $E$  is meager; otherwise it’s called a set of **second category**. Thus by the BCT a complete metric space is a set of the second category.

#### Corollary 0.0.5

If  $(X, d)$  is complete and  $\{F_n\}$  is a sequence of closed sets with empty interior, then  $\bigcup F_n$  also has empty interior.

*Proof.* Let  $U_n := F_n^c$ . Then  $U_n$  is open and dense. Therefore  $\overline{\bigcap U_n} = X$ , i.e.,  $\bigcup F_n = \emptyset$ . □

#### Theorem 0.0.6

Let  $X$  be a locally compact topological space and  $F_n$  a sequence of closed sets with empty interiors. Then the interior of  $\bigcup F_n$  is empty.

#### Example 0.0.7: First/second category vs. measure.

(1)  $A \subset \mathbb{R}$  which is of second category is of measure 0.

A concrete example: let  $\{q_n\}$  enumerate  $\mathbb{Q}$ . Define

$$A := \bigcap_{m \geq 1} U_m \quad \text{where } U_m := \bigcup_{n \geq 1} (x_n - 2^{-n-m}, x_n + 2^{-n-m})$$

so that

$$m(A) \leq \inf_{m \geq 1} \sum_{n \geq 1} 2^{-n-m} = \inf_{m \geq 1} 2^{1-m} = 0.$$

If  $A$  were meager, then  $A = \bigcup A_k$  where  $A_k$  are nowhere dense. Note that

$$\mathbb{R} = A \cup A^c = \left( \bigcup \overline{A_k} \right) \cup A^c = \left( \bigcup \overline{A_k} \right) \cup \left( \bigcup U_m^c \right).$$

The first is a countable union of nowhere dense sets as mentioned before. By construction,  $\mathbb{Q} \subset U_m$ , so  $U_m^c \subset \mathbb{Q}^c$ , so  $U_m^c$  has empty interior. Thus  $A$  being meager implies  $\mathbb{R}$  is a countable union of closed, nowhere dense sets, contradicting the second claim of BCT. Hence  $A$  is not meager and must be a set of second category.